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PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the application of:

Heeb, Beat

Application No. Unassigned

Filed: October 29, 2001

For: Method To Create Optimized Machine Code  
Through Combined Verification And  
Translation Of JAVA Bytecode

Group Art Unit: Unassigned

Examiner: Unassigned

Atty. Docket No.: 20296-300101

Date: October 29, 2001



CERTIFICATE OF EXPRESS MAILING

This transmittal and the documents and/or fees itemized hereon and attached hereto have been deposited as "Express Mail Post Office to Addressee" in accordance with 37 CFR §1.10 with Mailing Label Number EH772165255US

INFORMATION DISCLOSURE STATEMENT  
UNDER 37 CFR §§ 1.56 AND 1.97(c)

Commissioner of Patents and Trademarks  
Washington, DC 20231

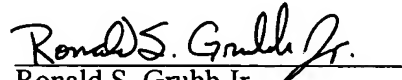
Dear Sir:

The references listed in the attached PTO Form 1449, copies of which are attached, may be material to examination of the above-identified patent application. Applicants submit these references in compliance with their duty of disclosure pursuant to 37 CFR §§ 1.56 and 1.97. The Examiner is requested to make these references of official record in this application.

This Information Disclosure Statement is not to be construed as a representation that a search has been made, that additional information material to the examination of this application does not exist, or that these references indeed constitute prior art.

This Information Disclosure Statement is filed with the Application, accordingly, it is believed that no fees are due in connection with the filing of this Information Disclosure Statement. However, if it is determined that any fees are due, the Commissioner is hereby authorized to charge such fees to Deposit Account 02-3964 (Order No. 20296-300101).

Respectfully submitted,

  
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|--|----------------------------------|---------------------------|
| <b>Form 1449 (Modified)</b>                              | Atty Docket No.<br>20296-300101  | Serial No.:<br>Unassigned |
| <b>Information Disclosure<br/>Statement By Applicant</b> | Applicant:<br>Heeb, Beat         |                           |
|  | Filing Date:<br>October 29, 2001 | Group:<br>Unassigned      |
| (Use Several Sheets if Necessary)                        |                                  |                           |

**U.S. Patent Documents**

| Examiner Initial | No. | Patent No. | Date     | Patentee       | Class | Sub-class | Filing Date |
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**Foreign Patent or Published Foreign Patent Application**

| Examiner Initial | No. | Document No. | Publication Date | Country or Patent Office | Class | Sub-class | Translation |    |
|------------------|-----|--------------|------------------|--------------------------|-------|-----------|-------------|----|
|                  |     |              |                  |                          |       |           | Yes         | No |
|                  | R   |              |                  |                          |       |           |             |    |
|                  | S   |              |                  |                          |       |           |             |    |
|                  | T   |              |                  |                          |       |           |             |    |
|                  | U   |              |                  |                          |       |           |             |    |

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|--|----------------------------------|---------------------------|
| <b>Form 1449 (Modified)</b>                              | Atty Docket No.<br>20296-300101  | Serial No.:<br>Unassigned |
| <b>Information Disclosure<br/>Statement By Applicant</b> | Applicant:<br>Heeb, Beat         |                           |
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| (Use Several Sheets if Necessary)                        |                                  |                           |

### Other Documents

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|                     | V   | Tim Lindholm and Frank Yellin, "The JAVA Virtual Machine Specification – Second Edititon", <a href="http://java.sun.com/docs/books/vmspec/2nd-edition/html/Introduction.doc.html">http://java.sun.com/docs/books/vmspec/2<sup>nd</sup>-edition/html/Introduction.doc.html</a> |
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| Examiner            |     | Date Considered   |
|                     |     |   |

Examiner: Initial citation considered. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.